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## Two different kinds of rogue waves in weakly crossing sea states

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Formation of giant waves in sea states with two spectral maxima, centered at close wave vectors  $\mathbf{k}_0 \pm \Delta\mathbf{k}/2$  in the Fourier plane, is numerically simulated using the fully nonlinear model for long-crested water waves [V. P. Ruban, Phys. Rev. E **71**, 055303(R) (2005)]. Depending on an angle  $\theta$  between the vectors  $\mathbf{k}_0$  and  $\Delta\mathbf{k}$ , which determines a typical orientation of interference stripes in the physical plane, rogue waves arise having different spatial structure. If  $\theta \lesssim \arctan(1/\sqrt{2})$ , then typical giant waves are relatively long fragments of essentially two-dimensional (2D) ridges, separated by wide valleys and consisting of alternating oblique crests and troughs. At nearly perpendicular  $\mathbf{k}_0$  and  $\Delta\mathbf{k}$ , the interference minima develop to coherent structures similar to the dark solitons of the nonlinear Schrodinger equation, and a 2D freak wave looks much as a piece of a 1D freak wave, bounded in the transversal direction by two such dark solitons.

V. P. Ruban, Phys. Rev. E. **79**, 065304(R) (2009).

## I. Preliminary qualitative remarks

Weakly nonlinear deep-water gravity waves: 2D NLSE for wave envelope

$$Y(x_1, x_2, t) \approx \text{Re} [A(x_1, x_2, t) \exp(ik_0x_1 - i\omega_0t)], \quad (1)$$

$$\frac{i}{\omega_0} \frac{\partial A}{\partial t} + \frac{i}{2k_0} \frac{\partial A}{\partial x_1} = \frac{1}{8k_0^2} \left( \frac{\partial^2 A}{\partial x_1^2} - 2 \frac{\partial^2 A}{\partial x_2^2} \right) + \frac{k_0^2}{2} |A|^2 A. \quad (2)$$

Simple 1D-reductions

$$A = k_0^{-1} \Psi(\xi, \tau) \quad (3)$$

$$\xi = k_0[(x_1 - V_{\text{gr}}t) \cos \theta + x_2 \sin \theta], \quad \tau = \omega_0 t, \quad V_{\text{gr}} = (\omega_0/2k_0). \quad (4)$$

$$i\Psi_\tau = \frac{1}{4} [(1/2) \cos^2 \theta - \sin^2 \theta] \Psi_{\xi\xi} + \frac{1}{2} |\Psi|^2 \Psi. \quad (5)$$

Depending on the sign of the dispersion coefficient  $D(\theta) = [(1/2) \cos^2 \theta - 2 \sin^2 \theta]$ , the dynamics is quite different. For example, in the focusing case (when  $D > 0$ ), the nonlinearity can become saturated with the so-called (bright) solitons,

$$\Psi_{\text{bs}} = \frac{s}{\cosh \left[ (s/\sqrt{D})(\xi - \xi_0) \right]} \exp(-i\tau s^2/4 + i\phi_0), \quad (6)$$

where  $s$  is a wave steepness, and  $\xi_0, \phi_0$  are arbitrary constants. These weakly nonlinear solutions describe infinitely long wave ridges consisting of alternating oblique crests and troughs. In a more accurate model for fully nonlinear long-crested deep-water waves, as discussed below, these solutions exist for a long time, before qualitative modifications, in a range  $0 < s \lesssim 0.24 \dots 0.27$  (depending on  $\theta$ ). In particular, if  $\theta = 0$ , in the highly nonlinear case  $s = 0.20 \dots 0.27$  we have here the so called 1D GIANT BREATHERS (Dyachenko, Zakharov).

In the defocusing case (when  $D < 0$ ), the so-called dark solitons are possible,

$$\Psi_{\text{ds}} = s \tanh \left[ (s/\sqrt{-D})(\xi - \xi_0) \right] \exp(-i\tau s^2/2 + i\phi_0), \quad (7)$$

which separate two domains of opposite amplitude.

In view of the above, it is clear that since the effective dispersion coefficient  $D(\theta)$  changes the sign at  $\theta_* = \arctan(1/\sqrt{2})$ , in the full 2D dynamics of random wave fields there should be two substantially different regimes, one regime at  $\theta \lesssim \theta_*$  and another at  $\theta$  close to  $\pi/2$ . This hypothesis is confirmed in general by numerical experiments reported here.

## II. More accurate model

### 1. Conformal variables in 3D

$$Z = X + iY = z(u, q, t) = u + (i - \hat{H})Y(u, q, t) \quad (8)$$

$$\hat{H}Y(u, q, t) = \int [i \operatorname{sign} k] Y_{km}(t) e^{iku+imq} dk dm / (2\pi)^2 \quad (9)$$

$$Z_t = iZ_u(1 + i\hat{H}) \left[ \frac{(\delta\mathcal{K}/\delta\psi)}{|Z_u|^2} \right], \quad (10)$$

$$\begin{aligned} \psi_t = & -g \operatorname{Im} Z - \psi_u \hat{H} \left[ \frac{(\delta\mathcal{K}/\delta\psi)}{|Z_u|^2} \right] \\ & + \frac{\operatorname{Im} \left( (1 - i\hat{H}) [2(\delta\mathcal{K}/\delta Z)Z_u + (\delta\mathcal{K}/\delta\psi)\psi_u] \right)}{|Z_u|^2}. \end{aligned} \quad (11)$$

## 2. Approximate kinetic energy functional

$$\mathcal{K} \approx \tilde{\mathcal{K}} = -\frac{1}{2} \int \psi \hat{H} \psi_u du dq + \tilde{\mathcal{F}}, \quad (12)$$

$$\begin{aligned} \tilde{\mathcal{F}} &= \frac{i}{8} \int (Z_u \Psi_q - Z_q \Psi_u) \hat{G} \overline{(Z_u \Psi_q - Z_q \Psi_u)} du dq \\ &+ \frac{i}{16} \int \left\{ \left[ \frac{(Z_u \Psi_q - Z_q \Psi_u)^2}{Z_u} \right] \hat{E} \overline{(Z - u)} \right. \\ &\quad \left. - (Z - u) \hat{E} \overline{\left[ \frac{(Z_u \Psi_q - Z_q \Psi_u)^2}{Z_u} \right]} \right\} du dq. \end{aligned} \quad (13)$$

$$\Psi \equiv (1 + i\hat{H})\psi$$

$$G(k, m) = \frac{-2i}{\sqrt{k^2 + m^2} + |k|}, \quad (14)$$

$$E(k, m) = \frac{2|k|}{\sqrt{k^2 + m^2} + |k|}. \quad (15)$$

### 3. Variational derivatives

$$\frac{\delta \tilde{\mathcal{K}}}{\delta \psi} = -\hat{H}\psi_u + 2 \operatorname{Re} \left[ (1 - i\hat{H}) \frac{\delta \tilde{\mathcal{F}}}{\delta \Psi} \right], \quad (16)$$

$$\begin{aligned} \frac{\delta \tilde{\mathcal{F}}}{\delta \Psi} = & \frac{i}{8} Z_q \hat{\partial}_u [\hat{G} \overline{(Z_u \Psi_q - Z_q \Psi_u)} + (\Psi_q - Z_q \Psi_u / Z_u) \hat{E} \overline{(Z - u)}] \\ & - \frac{i}{8} Z_u \hat{\partial}_q [\hat{G} \overline{(Z_u \Psi_q - Z_q \Psi_u)} + (\Psi_q - Z_q \Psi_u / Z_u) \hat{E} \overline{(Z - u)}], \end{aligned} \quad (17)$$

$$\begin{aligned} \frac{\delta \tilde{\mathcal{F}}}{\delta Z} = & -\frac{i}{8} \Psi_q \hat{\partial}_u [\hat{G} \overline{(Z_u \Psi_q - Z_q \Psi_u)} + (\Psi_q - Z_q \Psi_u / Z_u) \hat{E} \overline{(Z - u)}] \\ & + \frac{i}{8} \Psi_u \hat{\partial}_q [\hat{G} \overline{(Z_u \Psi_q - Z_q \Psi_u)} + (\Psi_q - Z_q \Psi_u / Z_u) \hat{E} \overline{(Z - u)}] \\ & + \frac{i}{16} [\hat{\partial}_u [(\Psi_q - Z_q \Psi_u / Z_u)^2 \hat{E} \overline{(Z - u)}] - \hat{E} \overline{(\Psi_q - Z_q \Psi_u / Z_u)^2 Z_u}]. \end{aligned} \quad (18)$$

### III. Numerical experiments

1. Example of evolution of a perturbed giant breather in 2D.
2. Example of evolution of a perturbed high-amplitude oblique soliton into a zigzag structure
- 3-4. Two small sets of typical numerical experiments designated as A1-A4 and B1-B3. Within each set, at  $t = 0$  the normal Fourier modes of the wave field were taken in the form  $a_{km}(0) = cF(k, m) \exp(i\gamma_{km})$ , with a positive function  $F(k, m)$  having two nearly Gaussian maxima at  $\mathbf{k}_0 \pm \Delta\mathbf{k}/2$ , and with quasi-random initial phases  $\gamma_{km}$ , different for A and for B. In each experiment a choice of the coefficient  $c$  gave different values of the total energy  $E_{A1}, E_{A2}, E_{A3}, E_{A4}$  and  $E_{B1}, E_{B2}, E_{B3}$ . In set A we took  $\mathbf{k}_0 = (40.0, -2.5)$  and  $\Delta\mathbf{k} = (7.0, 2.0)$ , so a case  $\theta < \theta_*$  was simulated, while in set B it was a crossing sea state with  $\theta = \pi/2$ :  $\mathbf{k}_0 \pm \Delta\mathbf{k}/2 = (39.5, \pm 3.5)$ .

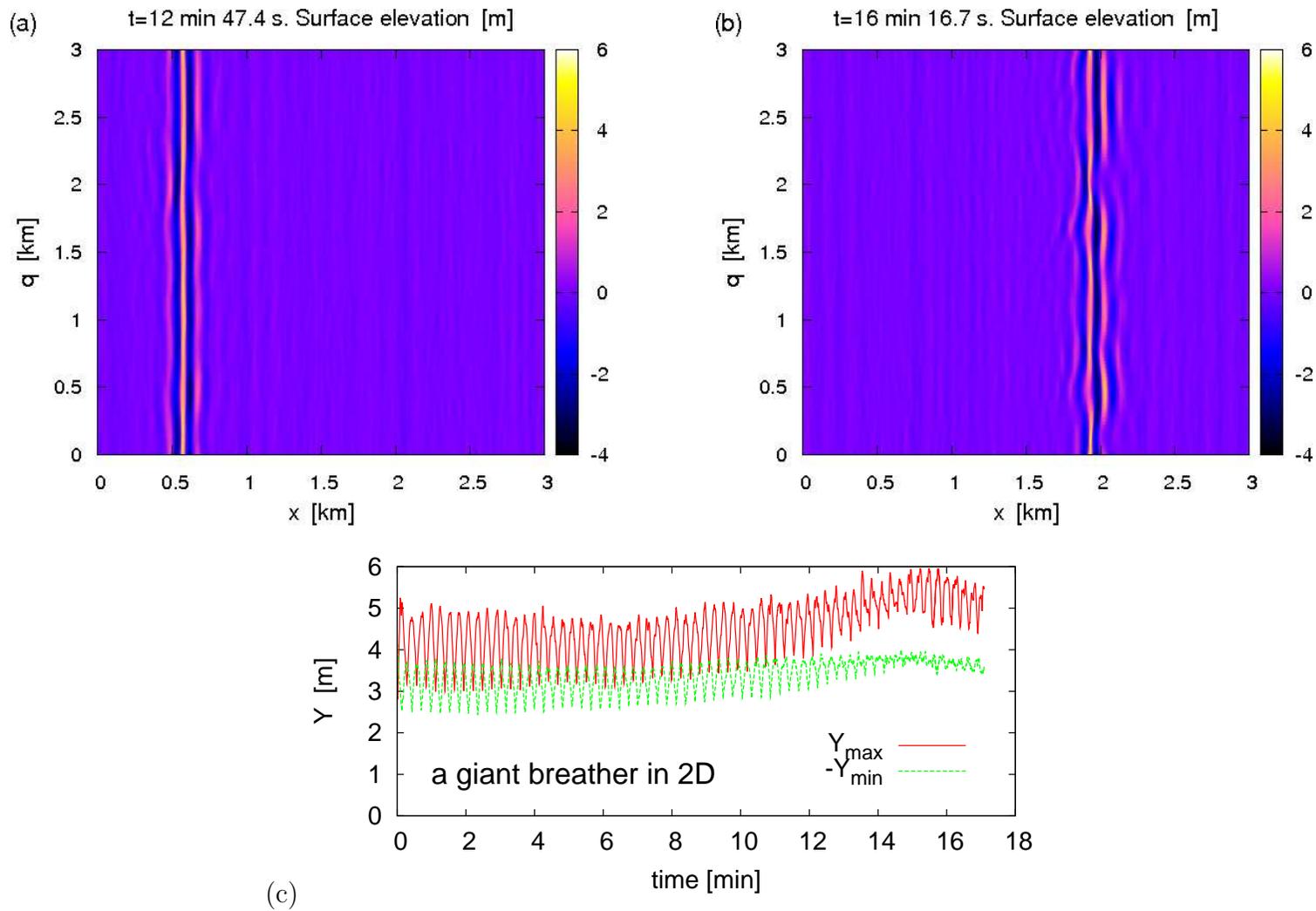


Figure 1: (a)-(b): Evolution of a perturbed giant breather in 2D; (c) Maximum and minimum elevation of the giant breather vs. time.

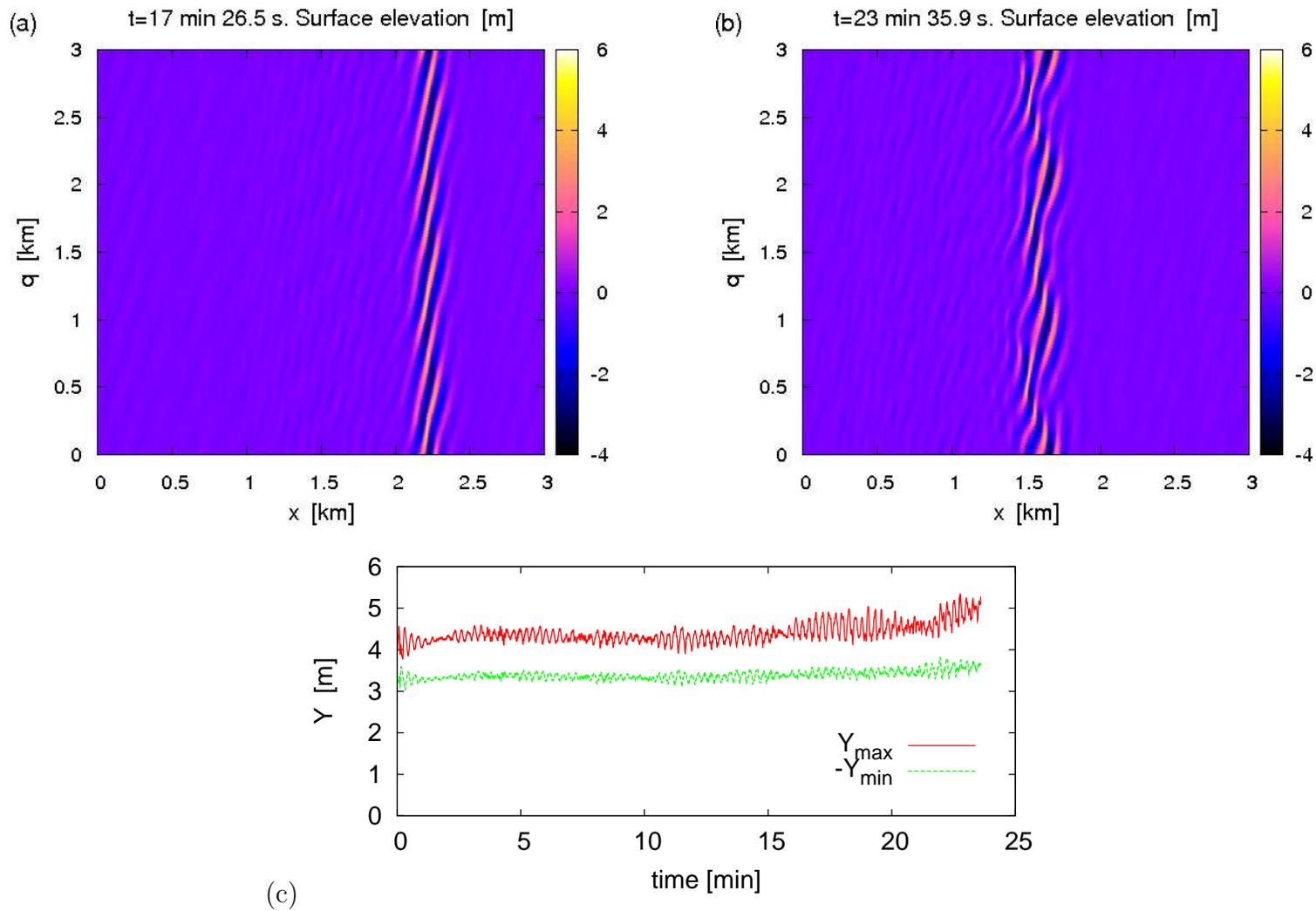


Figure 2: (a)-(b): Evolution of a perturbed high-amplitude oblique soliton into a zigzag structure; (c) Maximum and minimum elevation of the oblique soliton vs. time.

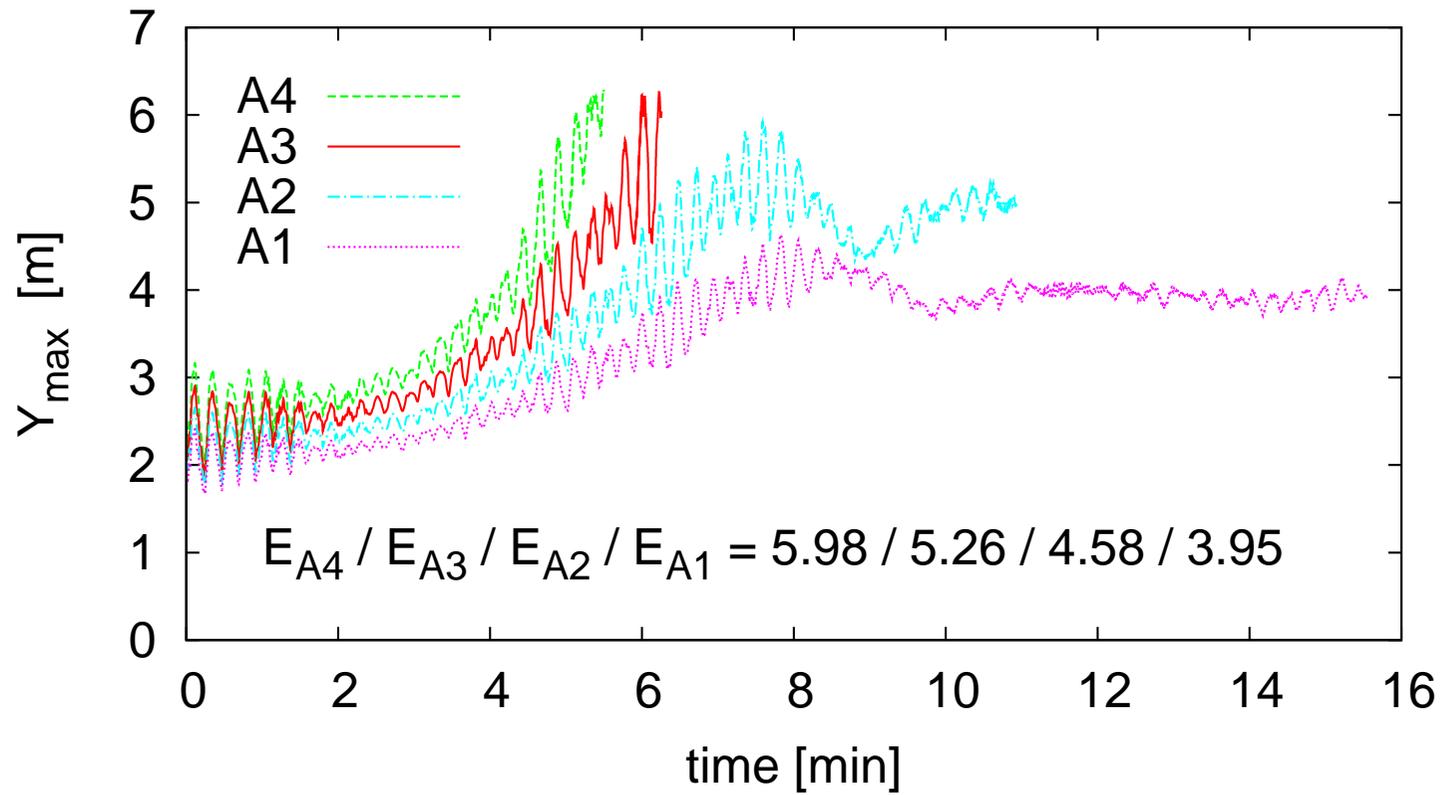


Figure 3: Maximum elevation of the free surface vs. time in the numerical experiments A1-A4.

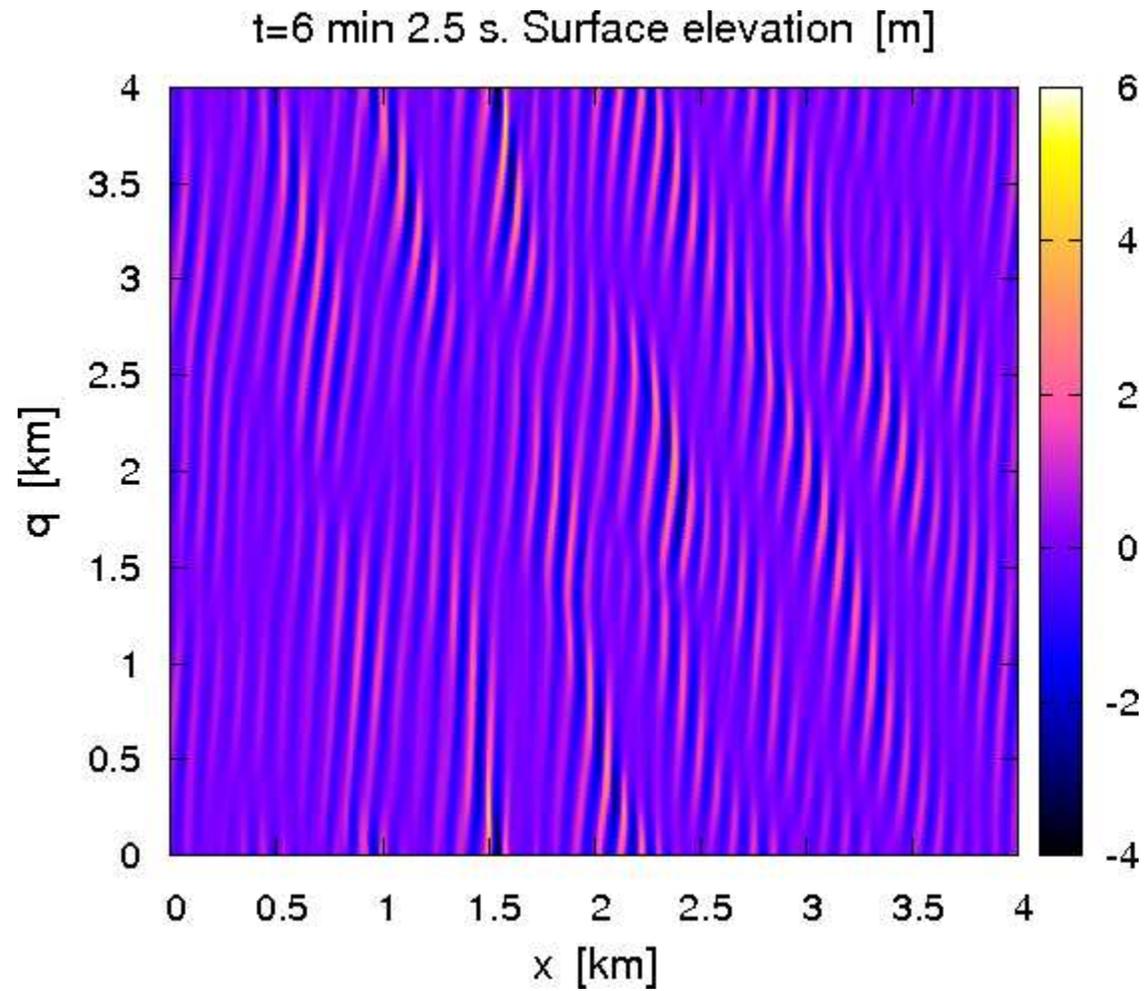


Figure 4: Experiment A3: the two big waves are at  $x \approx 1.6$  km,  $q \approx [3.7 \cdots 3.9]$  km, and at  $x \approx 1.5$  km,  $q \approx [0.1 \cdots 0.3]$  km.

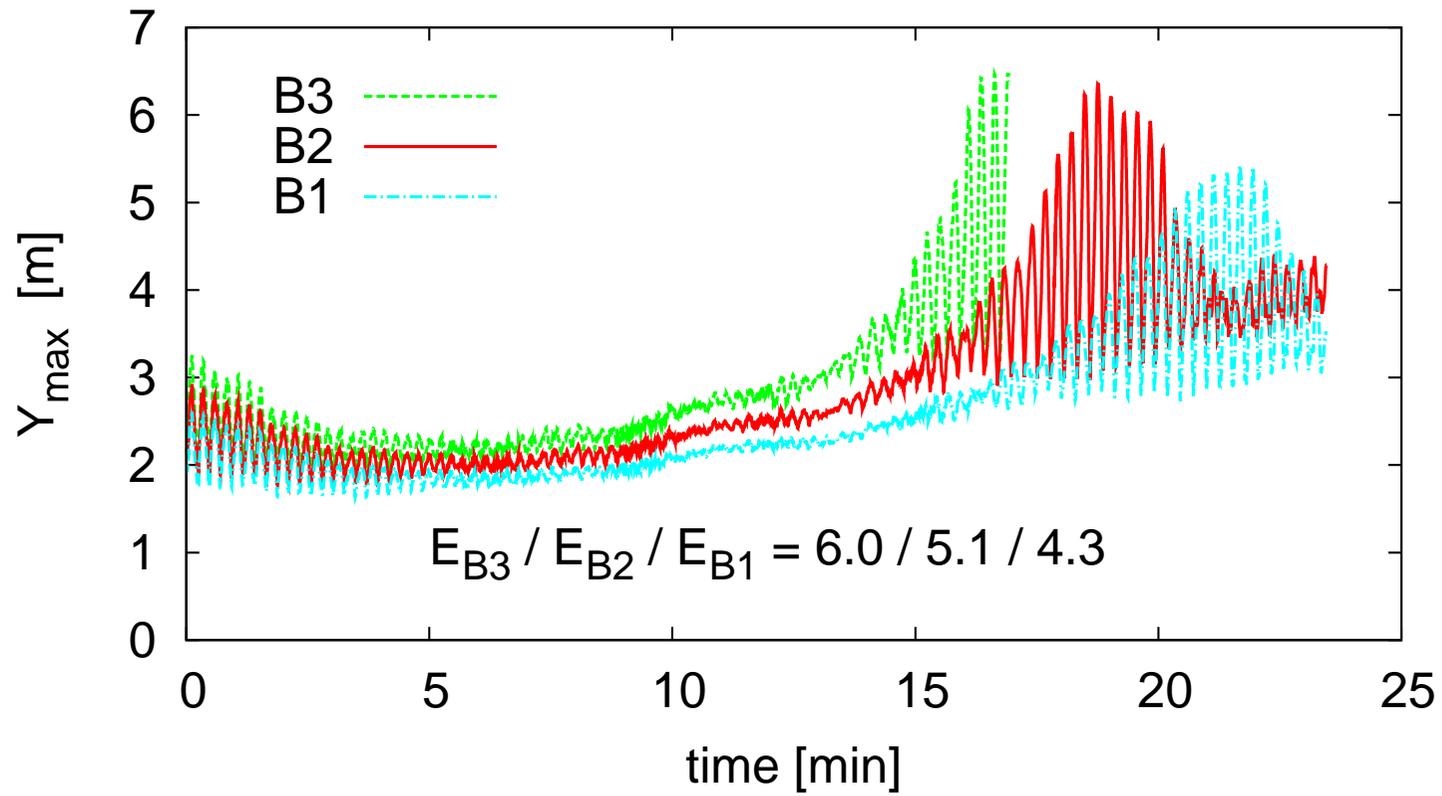


Figure 5: Maximum elevation of the free surface in the numerical experiments B1-B3.

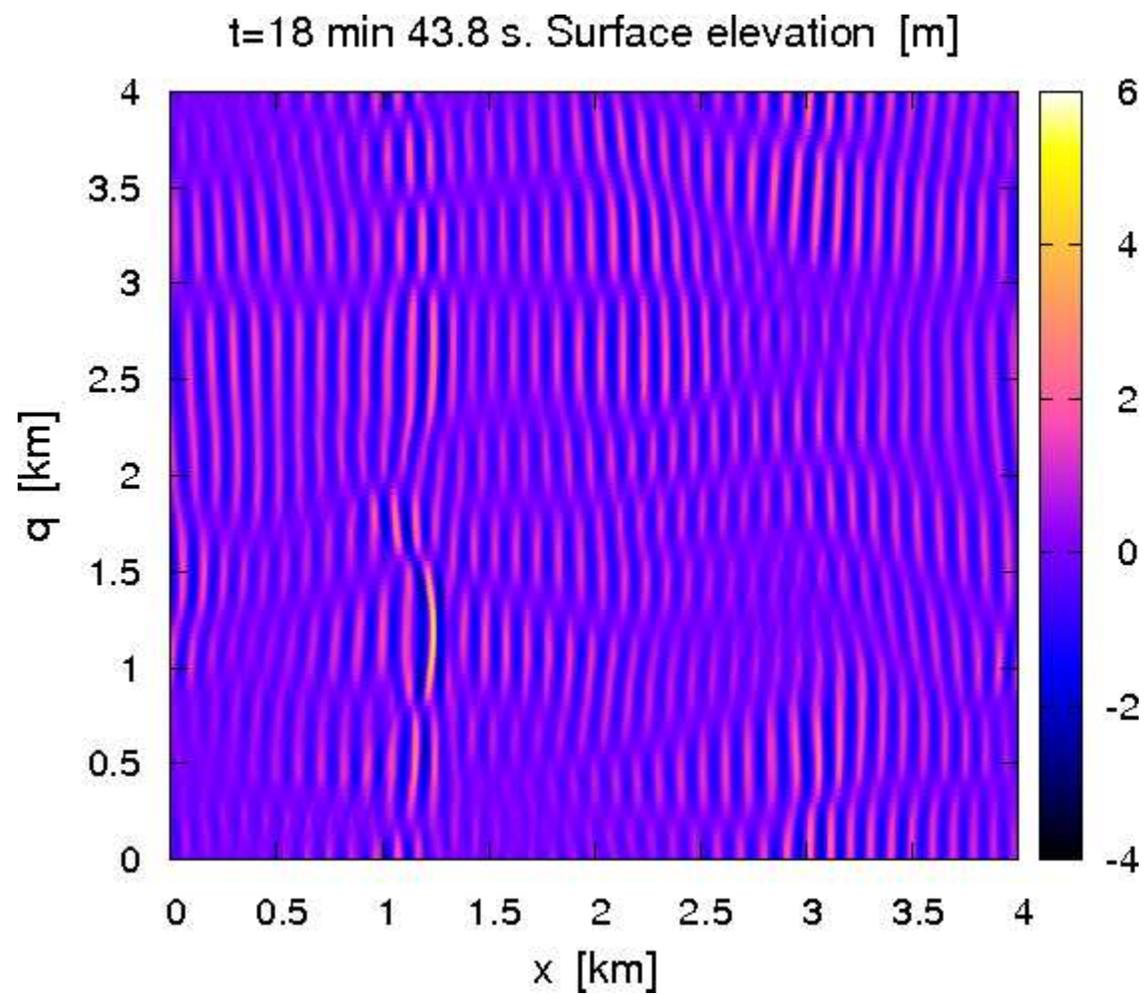


Figure 6: Experiment B2: the rogue wave is at  $x \approx 1.2$  km,  $q \approx [1.0 \cdots 1.3]$  km.

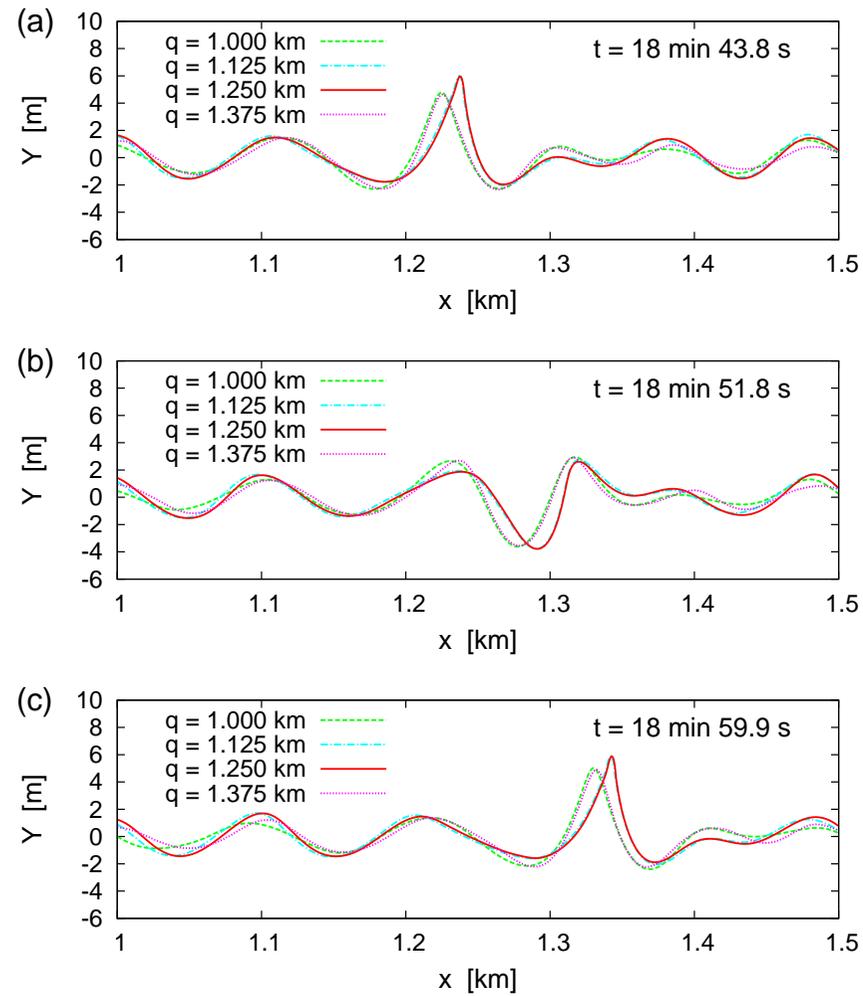


Figure 7: (a) profiles of the freak wave from Fig.6; (b) 8 s later: “a hole in the sea”; (c) 16 s later: the big wave has risen again.