Electrostatic Screening and Friedel Oscillations In Nanostructures

A.V. Chaplik in collaboration with V.M. Kovalev, L.I. Magarill, R.Z. Vitlina A.V. Rzhanov Institute of Semiconductor Physics, Novosibirsk, Russia

Outline

- 1.Introduction.Screening by charged particles
- Basic equations
- Nanotube
- Double quantum well (DQW)
- Multilayer structure (superlattice)
- 2.Screening by neutral partricles (excitons)
- Friedel oscillations in a hybrid system
- Conclusion

Introduction

3D isotropic system with metallic spectrum:

2D plasma:

 $\frac{1}{r} \rightarrow \frac{e^{-\kappa r}}{r} + \sim \cos(2p_F r)/r^3$ $\frac{1}{r} \rightarrow N_0 - H_0 \rightarrow \frac{a_B^2}{r^3} + \sim \sin(2p_F r)/r^2$ $U^{tot} = \frac{U^{ext}}{}$ Dielectric spectrum, uniform system $\frac{\mathcal{E}}{U^{tot}}(\omega,k) = \frac{U^{ext}(\omega,k)}{\mathcal{E}}$

For Fourier components

Nonuniform system

$$U_{ij}^{ext}(\omega,\mathbf{q}) = \varepsilon_{ijnm}(\omega,\mathbf{q})U_{nm}^{tot}(\omega,\mathbf{q})$$

 $\mathcal{E}_{iinm}(\omega,\mathbf{q})$ - Matrix dielectric function

Basic equations

$$\left(\frac{d^2}{dz^2} - q^2\right) U_{ind}(\mathbf{q}, z) = -\frac{4\pi e^2}{\varepsilon} \sum_{nm} \Pi_{nm} \varphi_n(z) \varphi_m(z) U_{nm}(\mathbf{q})$$
$$\Pi_{nm}(\mathbf{q}) = -\sum_{\mathbf{k}} \frac{f_n(\mathbf{k}) - f_m(\mathbf{q} + \mathbf{k})}{E_n(\mathbf{k}) - E_m(\mathbf{q} + \mathbf{k}) + i\delta},$$

Formal solution:
$$U_{ind}(z) = \int G(z, z') r h.s.(z') dz' \quad G(z, z') = \frac{1}{2q} e^{-q|z-z'|}$$

$$U_{ij}(q) + \frac{2\pi\tilde{e}^2}{q} \sum_{nm} I_{ij,nm}(q) \Pi_{nm}(q) U_{nm} = U_{nm}^0(q), \quad \tilde{e}^2 = e^2/\varepsilon.$$

$$I_{ij,nm}(q) = \int \varphi_i(z)\varphi_j(z)e^{-q|z-z'|}\varphi_n(z')\varphi_m(z')dzdz'$$
$$\varepsilon_{ijnm} = \delta_{in}\delta_{jm} + \frac{2\pi\tilde{e}^2}{q}I_{ijnm}(q)\Pi_{nm}(q)$$

$$\Pi(\omega;k,n) = \frac{1}{2\pi^2} \sum_{l=-\infty}^{\infty} \int_{-\infty}^{\infty} dp \frac{f_{p-k,l-n} - f_{p,l}}{\varepsilon_{p,l} - \varepsilon_{p-k,l-n} - \omega - i\delta}$$

$$V(z,\varphi) = \int_{-\infty}^{\infty} \frac{dk}{(2\pi)^2} \exp\left(ikz\right) \left(V(k,0) + 2\sum_{n=1}^{\infty} V(k,n)\cos\left(n\varphi\right)\right).$$

$$n = 0, V^{(0)}$$
 singular at $k=0$
 $n \neq 0, V^{(n)}$ regular at $k=0$
 $\prod regular at k = 0$

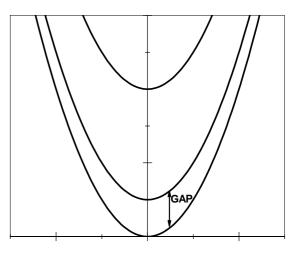
Nanotube

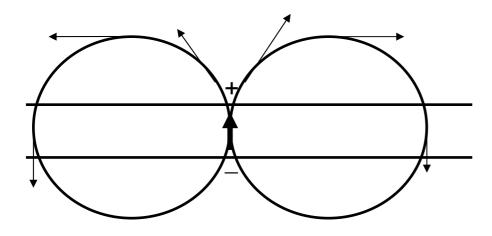
$$\begin{split} V_0(z) &\simeq \frac{\tilde{e}^2}{z} (\frac{ma_B}{4\pi\kappa_0 \Lambda})^2 \Big(1 - \frac{ma_B/\pi\kappa_0 + 4C}{2\Lambda} + \cdots \Big) \sim \frac{1}{z \ln^2 (2|z|/a)} \\ \kappa_0 &\equiv \Pi_0(k \to 0) = m [1/p_0 + 2\sum_{l=1}^L (1/p_l)]/\pi^2 \\ V_n^{(0)}(z) &= \frac{\tilde{e}^2}{\pi a} Q_{n-1/2} (1 + \frac{z^2}{2a^2}) \simeq \frac{\Gamma(n+1/2)}{\sqrt{\pi n!}} \frac{\tilde{e}^2}{z} (\frac{a}{z})^{2n} \\ V_n(z) &\simeq \frac{\Gamma(n+1/2)}{\sqrt{\pi n!}} \frac{\tilde{e}^2}{z} (\frac{a}{z})^{2n} (1 + \frac{\pi\kappa_n}{ma_B n})^{-2} \Big[\sim \frac{1}{z} \frac{1}{z^{2n+1}} \end{split}$$

$$\epsilon_n = (1 + \frac{\pi \kappa_n}{m a_B n})^2 \quad \kappa_n = \Pi(\omega = 0; k = 0; n)$$

Effective dielectric constant

Nanotube





Friedel oscillations, zero harmonic

Singularity at
$$k = 2p_e$$
, $\Pi_0(k \rightarrow 2p_e) \rightarrow \infty$

rather than $\rightarrow 0$ as in 1D and 2D systems

$$\widetilde{V}_0(z) = -\frac{Q}{e} \sum_{l=-L}^{l=L} \frac{2\pi^2 p_l}{m} \frac{\cos(2p_l z)}{|z| \ln^2(4p_l|z|)} [1 - \frac{2C}{\ln(4p_l|z|)} + \dots]$$

 $\widetilde{V}_0(z)$ decreases not slower than $\overline{V}_0(z)$ $\widetilde{V}_0/\overline{V}_0 \propto 1/p_F a_B$ and for metallic limit $p_F a_B >> 1$ effect of $\widetilde{V}_0(z)$ is small

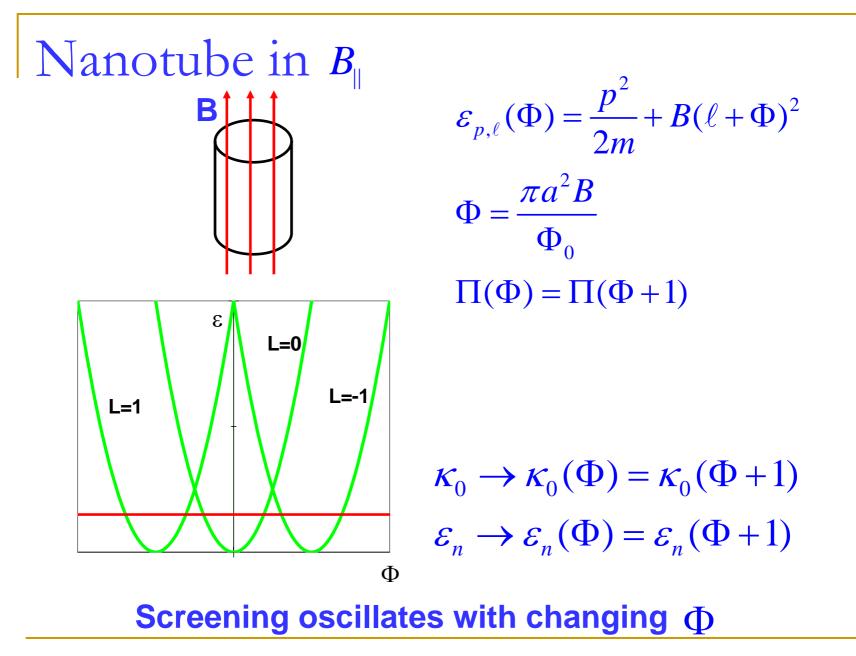
Friedel oscillations, non-zero harmonics

Singularity exists not for all values of *n*.

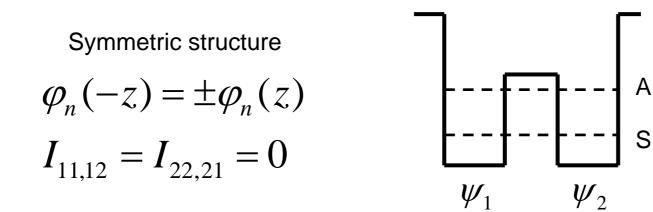
$$\begin{aligned} \operatorname{Re}(\Pi(k,n)) &= \frac{m}{2\pi^2 k} \sum_{l=-L}^{L} \ln \left| \frac{(k^2 a^2 + n^2 + 2k p_l a^2)^2 - 4n^2 l^2}{(k^2 a^2 + n^2 - 2k p_l a^2)^2 - 4n^2 l^2} \right| \\ L &= \left[p_F a \right] \qquad \qquad |l| \leq L \\ k_c &= p_l \pm \sqrt{p_F^2 - \frac{(n-l)^2}{a^2}} \Longrightarrow p_F^2 a^2 > (n-l)^2 \end{aligned}$$

Oscillations exist only for $n \le 2L+1$ Otherwise only monotonous part.

$$\widetilde{V}_n(z) = -\frac{Q}{e} \frac{2\pi^2}{m|z|} \sum_l \frac{k_c \cos(k_c z)}{\ln^2(|z|q_l)}; \quad \Pi \simeq \ln|\frac{q_l}{k - k_c}$$
$$\widetilde{V}_n(z) \gg \overline{V}_n(z) \propto z^{-(2n+1)}$$



Double quantum well



Equation for U_{12} is split off

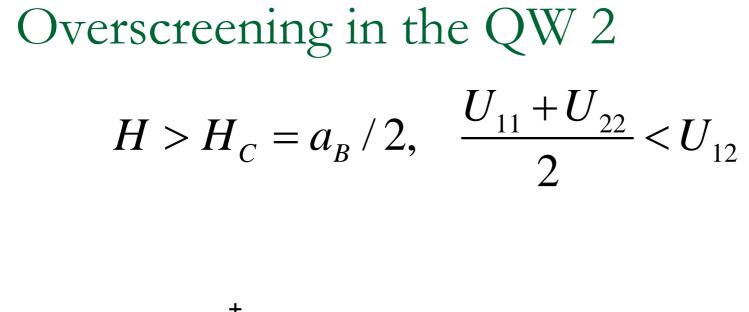
$$U_{12}(q) = \frac{U_{12}^0(q, z_0)}{1 + \gamma_q [\Pi_{12}(q) + \Pi_{21}(q)] I_4(q)} \qquad I_4(q) = I_{12,12}(q)$$
$$\varphi_1(z) = \frac{\psi_1(z) + \psi_2(z)}{\sqrt{2}}, \varphi_2(z) = \frac{\psi_1(z) - \psi_2(z)}{\sqrt{2}} \qquad \gamma_q = \frac{2\pi e^2}{\varepsilon q}$$

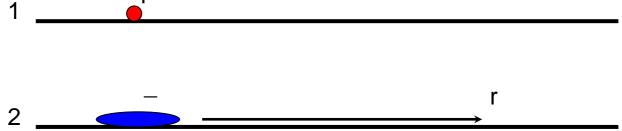
Screened potential in the wells 1 and 2

$$\begin{array}{c} 1 \\ 2 \\ 2 \\ \end{array} \begin{array}{c} \bullet \\ 2 \\ \end{array} \begin{array}{c} \bullet \\ 1,2 \end{array} \begin{array}{c} \bullet \\ 1,2 \end{array} = \frac{U_{11} + U_{22}}{2} \pm U_{12}, \end{array}$$

At
$$\rho \to \infty$$

 $U_{11}(\rho) = U_{22}(\rho) \sim \frac{\tilde{e}^2}{(2q_s)^2 \rho^3}, \quad q_s = 2/a_B$
 $U_{12}(\rho) \sim \frac{\tilde{e}^2 H^2}{2(1 + \pi \tilde{e}^2 H \Pi_0) \rho^3}. \qquad \Pi_0 = \frac{2(N_1 - N_2)}{E_2 - E_1}$





Friedel oscillations in DQW

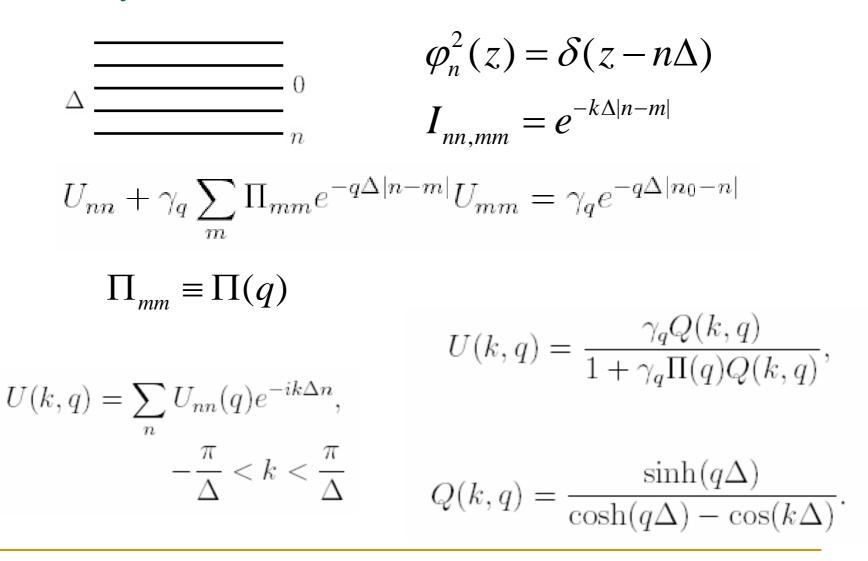
Singularity stems from $q = 2p_1, 2p_2$

$$\tilde{U}_{11} \propto A \frac{\sin(2p_1\rho)}{(2p_1\rho)^2} + B \frac{\sin(2p_2\rho)}{(2p_2\rho)^2}$$

$$\langle U \rangle_{1,2} \propto \tilde{U}_{11} \pm C \frac{\sin(p_1 + p_2)\rho}{(p_1 + p_2)^2 \rho^2}$$

Combination frequency

Multilayer structure



Multilayer structure

$$\rho >> \Delta, n >> 1$$
 $k\Delta, q\Delta << 1 q << p_F$
 $U(\rho, n) = \frac{\tilde{e}^2}{r_n} \exp(-r_n \kappa)$ $\frac{1}{\kappa} = \sqrt{\Delta a_B} / 2$

$$r_n^2\,=\,\rho^2\,+\,(n\Delta)^2$$

$$U(\rho = 0, n >> 1) = \left(1 + \frac{q_s \Delta}{3}\right)^{-1} \frac{\tilde{e}^2}{|z|} \exp(-\kappa |z|),$$

$$U(\rho >> \Delta, n = 0) = \left(1 + \frac{q_s \Delta}{2}\right)^{-1/2} \frac{\tilde{e}^2}{\rho} \exp(-\kappa \rho).$$

Friedel oscillations

$$q \sim 2p_F$$

$$U_n(\rho) = -\tilde{e}^2 q_s \frac{\sinh^2(2p_F \Delta)}{\sinh^2(2p_F \bar{\Delta})} \coth(2p_F \bar{\Delta}) e^{-2p_F \bar{\Delta}|n|} \frac{\sin(2p_F \rho)}{(2p_F \rho)^2},$$

$$\cosh(2p_F\bar{\Delta}) = \cosh(2p_F\Delta) + \frac{q_s}{2p_F}\sinh(2p_F\Delta), \quad q_s = 2/a_B$$

Decay length in z – direction: $(2p_F\overline{\Delta}/\Delta)^{-1} \neq \text{ period of oscillations}$ in x,y – directions: $(2p_F)^{-1}$

Screening by neutral particles: indirect dipolar excitons

PRL 103, 087403 (2009)

PHYSICAL REVIEW LETTERS

week ending 21 AUGUST 2009

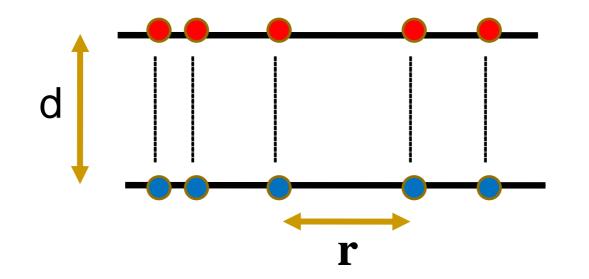
Trapping Indirect Excitons in a GaAs Quantum-Well Structure with a Diamond-Shaped Electrostatic Trap

A. A. High,¹ A. K. Thomas,¹ G. Grosso,¹ M. Remeika,¹ A. T. Hammack,¹ A. D. Meyertholen,¹ M. M. Fogler,¹ L. V. Butov,¹ M. Hanson,² and A. C. Gossard²

¹Department of Physics, University of California at San Diego, La Jolla, California 92093-0319, USA ²Materials Department, University of California at Santa Barbara, Santa Barbara, California 93106-5050, USA (Received 3 June 2009; published 19 August 2009)

At low densities and temperatures, excitons in the trap are localized by the disorder potential. However, with increasing density, the disorder is screened by exciton-exciton interaction, and the excitons become free to collect to the trap center.

Our question: How do neutral particles screen defects? System under study: Excitonic Bose gas with repulsive interaction



$$W^{ex-ex}(\mathbf{r}) = \frac{2e^2}{\varepsilon_0} \left(\frac{1}{|\mathbf{r}|} - \frac{1}{\sqrt{d^2 + \mathbf{r}^2}} \right) \qquad W^{ex-ex}(\mathbf{q}) = \frac{4\pi e^2}{q\varepsilon_0} \left(1 - e^{-qd} \right)$$

Screening: Basic equations of the linear static response

$$W^{tot} = U + W^{ind} \quad (1)$$

$$W^{ind}(\mathbf{r}) = \int W^{ex-ex}(\mathbf{r}-\mathbf{r'}) \delta n(\mathbf{r'}) d\mathbf{r'} \quad (2)$$

$$\delta n(\mathbf{q}) = W^{tot}(\mathbf{q}) \sum_{\mathbf{k}} \frac{f_{\mathbf{k}}^{B} - f_{\mathbf{k}+\mathbf{q}}^{B}}{E_{\mathbf{k}} - E_{\mathbf{k}+\mathbf{q}} - i0} \quad (3)$$

$$W^{ind}(\mathbf{q}) = \frac{4\pi e^2}{q\varepsilon_0} \left(1 - e^{-qd}\right) \delta n(\mathbf{q}) \quad (4)$$

Screening: Results

$$W^{tot}(\mathbf{q}) = \frac{U(\mathbf{q})}{1 - W^{ex - ex}(\mathbf{q})\Pi(\mathbf{q})}; \quad \Pi(\mathbf{q}) = \sum_{\mathbf{k}} \frac{f_{\mathbf{k}}^{B} - f_{\mathbf{k}+\mathbf{q}}^{B}}{E_{\mathbf{k}} - E_{\mathbf{k}+\mathbf{q}} - i0}$$

Behavior of the total potential at large distance (r>>d) is given by

$$W^{tot}(\mathbf{r}) = \frac{U(\mathbf{r})}{\varepsilon} \qquad \varepsilon = 1 + \frac{2d}{a_B^*} \left(e^{\frac{2\pi}{mT}n} - 1 \right)$$

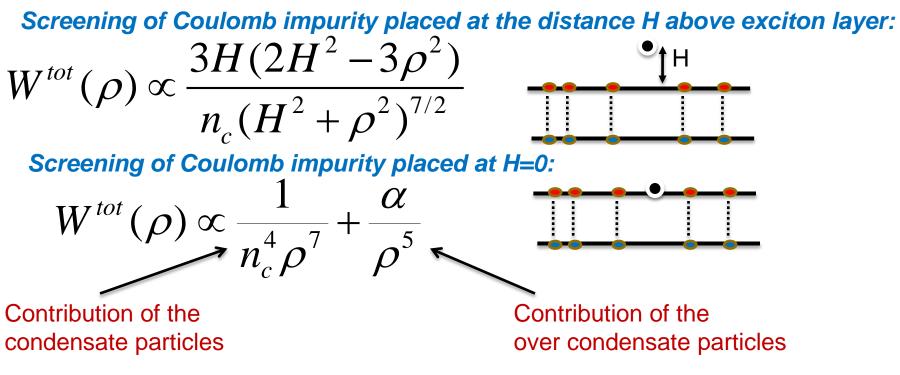
Screening is of *dielectric* type

Screening: Basic equations of the linear response with Bose-Einstein condensate $W^{tot} = U + W^{ind}$ where $W^{ind}(\mathbf{q}) = \frac{4\pi e^2}{q\varepsilon_0} (1 - e^{-qd}) \delta n(\mathbf{q})$

$$\delta n(\mathbf{q}) \text{ is found from the Gross-Pitaevskii equation:} \left(-\frac{\Delta}{2m} + U(\mathbf{r}) + \int d\mathbf{r}' |\Psi(\mathbf{r}')|^2 W^{ex-ex}(\mathbf{r}-\mathbf{r}') \right) \Psi(\mathbf{r}) = \mu \Psi(\mathbf{r}) \Psi(\mathbf{r}) = \sqrt{n_c} + \varphi(\mathbf{r}); \quad \varphi(\mathbf{r}) << \sqrt{n_c}$$

$$W^{tot}(\mathbf{q}) = \frac{U(\mathbf{q})}{\varepsilon(\mathbf{q})} \qquad \qquad \varepsilon(\mathbf{q}) = 1 + \frac{4mn_c W^{ex-ex}(\mathbf{q})}{\mathbf{q}^2}$$

Results of calculations



Screening of neutral perturbation (like a well width fluctuation):

$$W^{tot}(\rho) \propto -\frac{1}{n_c \rho^5}$$

At T=0 BEC results in steep decrease of the screened potential.

Nonlinear screening: basic equations

 $W^{tot} = U + W^{ind}$

For large distances (r>>d) we have a local relation:

$$W^{ind}(\mathbf{r}) = \int W^{ex-ex}(\mathbf{r}-\mathbf{r'}) \delta n(\mathbf{r'}) d\mathbf{r'} \Longrightarrow W^{ind}(\mathbf{r}) \approx \frac{4\pi e^2 d}{\varepsilon_0} \delta n(\mathbf{r})$$

In the case of degenerate exciton gas the total potential $W^{tot}(r)$ obeys the nonlinear equation:

$$W^{tot}(\mathbf{r}) = U(\mathbf{r}) - \frac{2d}{a^*} T \ln\left(\frac{1 - Qe^{W^{tot}(\mathbf{r})/T}}{1 - Q}\right)$$
$$a^* = \varepsilon_0 \hbar^2 / me^2 \quad Q = 1 - e^{-2\pi n/mT}$$

Strong attraction

$$|U| >> T$$
 suppose $|W^{tot}| << |U|$
neglect left-hand-side
Solution: $W^{tot} = \mu + T(1 - e^{\beta\mu}) \exp[a_B^*U(r)/2dT]$
Density
 $wT = (u - e^{\beta\mu}) = wT$

$$n(\mathbf{r}) = -\frac{mT}{2\pi} \ln\left(1 - e^{\beta(\mu - W^{tot})}\right) \approx -\frac{mT}{2\pi} \left[\ln(1 - e^{\beta\mu}) + \frac{a_B^*U}{2dT} \right]$$

Strong attraction

Total number of particles:

$$N = \int d\mathbf{r} \ n(\mathbf{r}) = -\frac{mT}{2\pi} S \ln(1 - e^{\beta\mu}) - \frac{ma_B^*}{4\pi d} \int d\mathbf{r} \ U(\mathbf{r})$$

$$n_0 = N/S, \qquad \overline{U} = \frac{1}{S} \int d\mathbf{r} U(\mathbf{r})$$

$$W^{tot} = -Te^{-2\pi n_0/mT} e^{-a_B^* \overline{U}/2dT} \left(1 - e^{a_B^* U(\mathbf{r})/2dT} \right), \quad U < 0$$

Coulomb
$$\overline{U} = 2ze^2 / R$$

Nonlinear screening: results

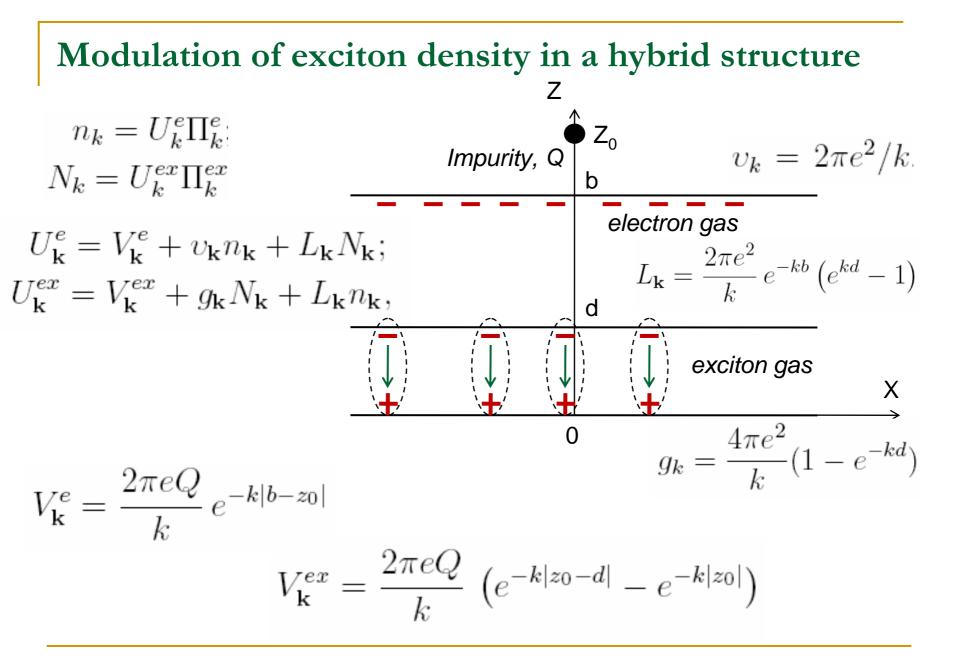
Analytic solution of nonlinear equation can be found in limiting cases: <u>Weak perturbation</u>: $|U(\mathbf{r})| << T$

$$W^{tot}(\mathbf{r}) = \frac{U(\mathbf{r})}{\varepsilon_{eff}}; \quad \varepsilon_{eff} = 1 + \frac{2d}{a_B^*} \left(e^{\frac{2\pi}{mT}n} - 1 \right)$$

<u>Strong perturbation</u>: $|U(\mathbf{r})| >> T$

$$W^{tot} = Te^{-\frac{2\pi}{mT}n} << T << |U(\mathbf{r})|$$

In both cases screening becomes very strong with increasing exciton concentration n.



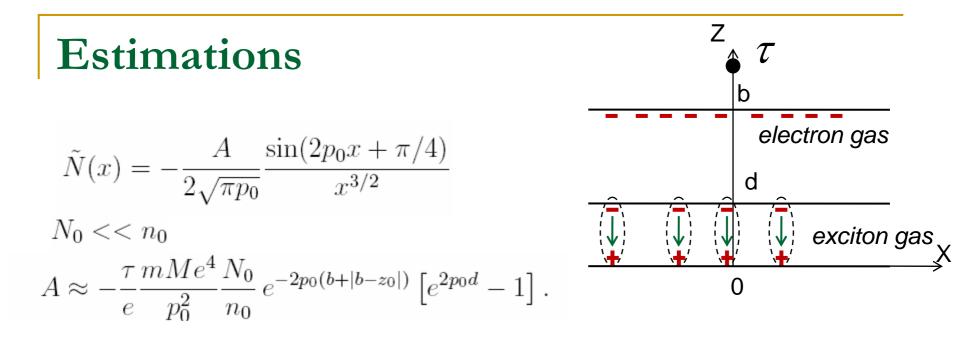
Friedel oscillations of excitons

$$N_k = \Pi_k^{ex} \frac{V_k^{ex} (1 - \upsilon_k \Pi_k^e) + V_k^e L_k \Pi_k^e}{(1 - \upsilon_k \Pi_k^e)(1 - g_k \Pi_k^{ex}) - L_k^2 \Pi_k^e \Pi_k^{ex}}.$$

$$\Pi_{k}^{e} = -\frac{m}{\pi} \left[1 - \theta \left(1 - \frac{4p_{0}^{2}}{k^{2}} \right) \sqrt{1 - \frac{4p_{0}^{2}}{k^{2}}} \right]$$

$$N(\rho) = \frac{Qk_0}{4\pi e\rho^3} \left[\frac{\alpha + (1+k_0d)\beta}{k_s(1+k_0d)^2} \right] \qquad \qquad \tilde{N}(\rho) = -\frac{A}{2\pi\sqrt{2}} \frac{\sin(2p_0\rho)}{\rho^2}.$$

$$N_0 << n_0 \qquad A \approx -\frac{Q}{e} \frac{mMe^4}{p_0^2} \frac{N_0}{n_0} e^{-2p_0(b+|b-z_0|)} \left[e^{2p_0d} - 1\right]$$



$$d = 100A, b = 250A, z_0 = 300A$$
$$N_0 = 10^{10} \, cm^{-2}, \, n_0 = 10^{12} \, cm^{-2}, \, \tau/e = 10^8 cm^{-1} \, x = 10^{-4} \, cm^{-2}$$

$$-\frac{A}{2\sqrt{\pi p_0}x^{3/2}} \approx 2.5 \cdot 10^8 \, cm^{-2}.$$

Conclusion

- Zero azimuth harmonic of the Coulomb potential in nanotubes is screened rather weakly $1/z(\ln z)^2$.
- All n-th $(n\neq 0)$ harmonics are screened in accord with dielectric mechanism and the effective dielectric constant depends on *n*.
- In DQW radius of screening depends on difference of the populations of the subbands because of contribution of the intersubband transitions (off-diagonal element); in the equilibrium case this radius becomes constant as soon as the second subband starts to be populated.
- Friedel oscillations include contribution with combination period if both subbands of DQW are populated.
- In infinite periodic system of 2D layers screening of the Coulomb potential becomes three-dimensional (Yukawa law); the role of the radius of screening plays a quantity independent of the electron concentration. Anisotropy of the system manifests itself in the dependence of the preexponential factor on direction.
- Amplitude of the Friedel oscillations in the *n*-th plane of the superlattice exponentially decreases with increasing *n*.