



Superconductor-metal/insulator transition in 2D electron systems with strong spin-orbit coupling

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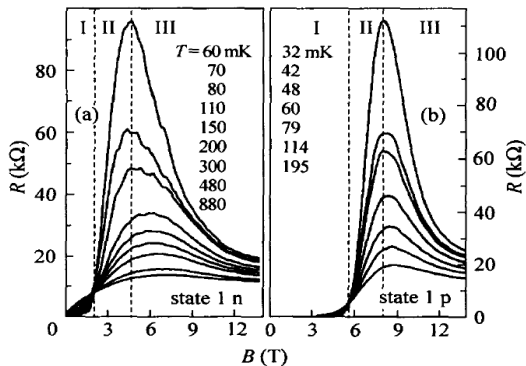
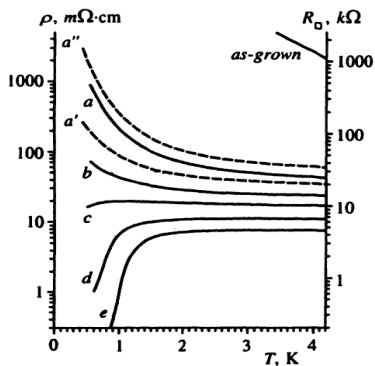
in collaboration with

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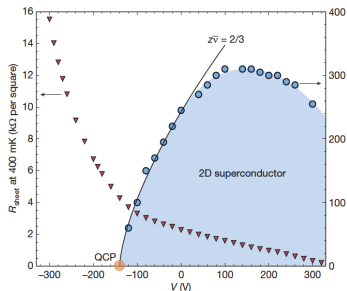
- amorphous Mo-Ge films (thickness $b = 15 \div 1000 \text{ \AA}$)
[Graybeal, Beasley (1984)]
- Bi and Pb layers on amorphous Ge ($b = 4 - 75 \text{ \AA}$)
[Strongin et al. (1971); Haviland et al. (1989)]
- ultrathin Be films ($b = 4 - 15 \text{ \AA}$)
[Bielejec et al. (2001)]
- amorphous thick In-O films ($b = 100 - 2000 \text{ \AA}$) [Shahar, Ovadyahu (1992);
[Gantmakher et al. (1996, 1998, 2000); Sambandamurthy et al.(2004); Sacépé et al. (2011)]
- thin TiN films
[Baturina et al. (2007)]
- Li_xZrNCl powders
[Kasahara et al. (2009)]

- ✓ $\text{LaAlO}_3/\text{SrTiO}_3$ interface
[Caviglia et al. (2008), Gariglio et al. (2009)]
- ✓ δ -doped Nb:SrTiO₃ films
[Kim et al. (2012)]
- ✓ monolayer MoS₂
[Ye et al. (2012, 2014); Taniguchi et al. (2012)]

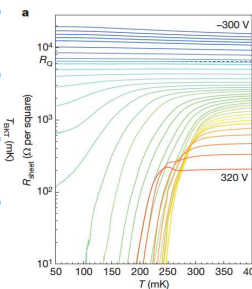
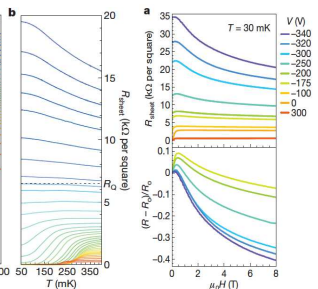


[Gantmakher et al. (1996, 1998)]

amorphous In-O film ($b \approx 200 \text{ \AA}$): resistance vs temperature (left),
 perpendicular (middle) and parallel (right) magnetic field



phase diagram


 resistance vs T

 resistance vs H_{\perp}

[from Caviglia et al. (2008)]

 spin-orbit splitting $\Delta_{so} \sim 0.2 \div 1 \cdot 10^2$ K (gate tunable)

$$m^*/m_e = 3$$

$$l \sim (0.7 \div 4.6) \cdot 10^{-6} \text{ cm}$$

$$n \sim 10^{12} \div 10^{14} \text{ cm}^{-2}$$

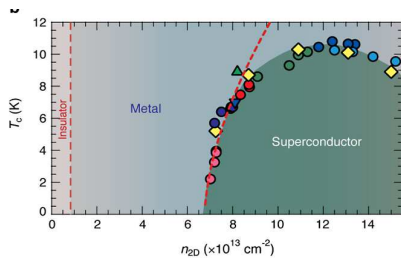
$$\kappa^{-1} \sim 10^{-8} \text{ cm}$$

$$\mu \sim 10^3 \div 6 \cdot 10^3 \text{ cm}^2/\text{V}\cdot\text{s}$$

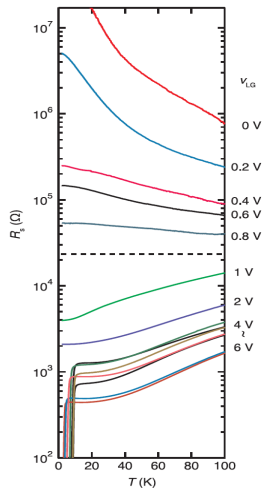
$$E_F \sim 7.6 \div 760 \text{ K}$$

$$\epsilon \sim 10^4$$

$$1/\tau \sim 8.4 \div 12.6 \text{ K}$$



phase diagram



resistance vs T

[from Ye et al. (2012,2014)]

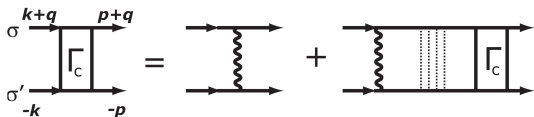
Edel'stein (1989)

Gor'kov, Rashba (2001)

Dimitrova, Feigelman (2003,2007)

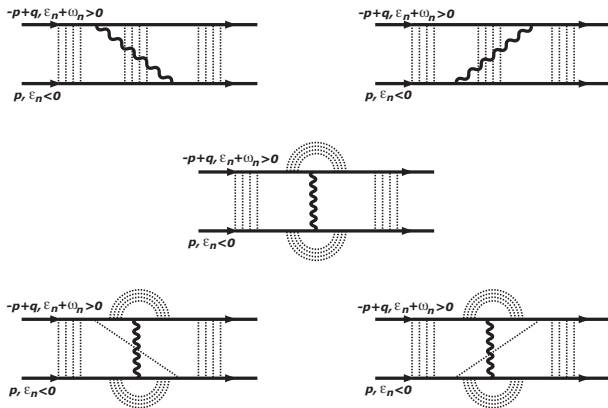
see recent review: “Non-centrosymmetric superconductors: Introduction and overview”,
Eds. E. Bauer and M. Sigrist, Springer 2012

- **nonmagnetic** impurities do **not** affect s-wave superconductors
Cooper-instability is the same for clean and diffusive electrons:



- mean free path l does not enter expression for T_c

in the presence of spin-orbit coupling nonmagnetic impurities can affect T_c [see Samokhin (2012)]



diagrams for renormalization of attraction in the Cooper channel

- perturbation theory

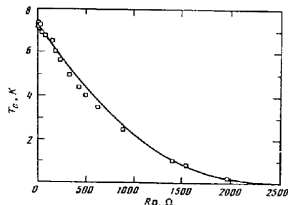
$$\frac{\delta T_c}{T_c^{BCS}} = -\frac{e^2}{6\pi^2\hbar} R_{\square} \left(\ln \frac{1}{T_c^{BCS}\tau} \right)^3 < 0$$

[Ovchinnikov (1973); Maekawa, Fukuyama (1982); Takagi, Kuroda (1982)]

- RG theory (in the lowest order in disorder and Cooper-channel attraction)

T_c vanishes at the sheet resistance

$$R_{\square} \sim \left(\ln \frac{1}{T_c^{BCS}\tau} \right)^{-2}$$



[Finkelstein (1987)]

the problem: RG theory predicts infinite resistance at T_c !?

- BCS model in the basis of electron states ϕ_ϵ for a given disorder
[Bulaevskii, Sadovskii (1984); Ma, Lee (1985); Kapitulnik, Kotliar (1985)]
superconductivity survives as long as

$$T_c^{BCS} \gtrsim \delta_\xi \propto \xi^{-d}$$

where ξ – localization length, d – dimensionality

- enhancement of T_c near Anderson transition ($T_c^{BCS} \propto \exp(-2/\lambda)$)

$$T_c \propto \lambda^{d/|\Delta_2|}$$

[Feigelman, Ioffe, Kravtsov, Yuzbashyan (2007); Feigelman, Ioffe, Kravtsov, Cuevas (2010)]

[I.S.B., Gornyi, Mirlin (2012)]

where $\Delta_2 < 0$ – multifractal exponent for inverse participation ratio

How resistance and magnetoresistance are described near the superconductor-metal/insulator transition within RG approach?

- free electrons in d -dimensions

$$H_0 = \int d^d \mathbf{r} \bar{\psi}_\sigma(\mathbf{r}) \left[-\frac{\nabla^2}{2m} \right] \psi_\sigma(\mathbf{r})$$

where $\sigma = \pm 1$ is spin projection

- scattering off white-noise random potential

$$H_{\text{dis}} = \int d^d \mathbf{r} \bar{\psi}_\sigma(\mathbf{r}) V(\mathbf{r}) \psi_\sigma(\mathbf{r}), \quad \langle V(\mathbf{r}) V(\mathbf{0}) \rangle = \frac{1}{2\pi\nu\tau} \delta(\mathbf{r})$$

where ν denotes the thermodynamics density of states

- electron-electron interaction

$$H_{\text{int}} = \frac{1}{2} \int d^d \mathbf{r}_1 d^d \mathbf{r}_2 U(|\mathbf{r}_1 - \mathbf{r}_2|) \bar{\psi}_\sigma(\mathbf{r}_1) \psi_\sigma(\mathbf{r}_1) \bar{\psi}_{\sigma'}(\mathbf{r}_2) \psi_{\sigma'}(\mathbf{r}_2)$$

- Coulomb repulsion with BCS-type attraction ($\lambda > 0$):

$$U(\mathbf{R}) = \frac{e^2}{\epsilon R} - \frac{\lambda}{\nu_0} \delta(\mathbf{R})$$

- short-ranged repulsion with BCS-type attraction ($\lambda > 0$):

$$U(\mathbf{R}) = u_0 \left[1 + \frac{R^2}{a^2} \right]^{\alpha/2} - \frac{\lambda}{\nu} \delta(\mathbf{R}), \quad \alpha > d, \quad u_0 > 0$$

- assumptions

$$\mu \gg \tau^{-1} \gg T$$

where

μ – chemical potential

τ – transport mean-free time

T – temperature

- particle-hole channel:

$$H_{\text{int}}^{\text{p-h}} = \frac{1}{2\nu} \int_{ql \lesssim 1} \frac{d^d \mathbf{q}}{(2\pi)^d} \sum_{j=0}^3 F_j(q) m_j(\mathbf{q}) m_j(-\mathbf{q})$$

where $l = v_F \tau$ denotes mean-free path, $m_j(\mathbf{q}) = \int_{\mathbf{k}} \bar{\psi}_{\sigma}(\mathbf{k} + \mathbf{q}) s_j^{\sigma\sigma'} \psi_{\sigma'}(\mathbf{k})$ and

$$F_0(q) = F_s, \quad F_{1,2,3}(q) = F_t$$

- particle-particle channel:

$$H_{\text{int}}^{\text{p-p}} = -\frac{F_c}{\nu} \int_{ql \lesssim 1} \frac{d^d \mathbf{q}}{(2\pi)^d} \int \frac{d^d \mathbf{k}_1 d^d \mathbf{k}_2}{(2\pi)^{2d}} \bar{\psi}_{\sigma}(\mathbf{k}_1) \bar{\psi}_{-\sigma}(-\mathbf{k}_1 + \mathbf{q}) \psi_{-\sigma}(\mathbf{k}_2 + \mathbf{q}) \psi_{\sigma}(-\mathbf{k}_2)$$

$$F_s = \nu U(q) + F_t \quad \text{singlet (p-h) channel}$$

$$F_t = -\frac{\nu}{2} \int_0^{2\pi} \frac{d\theta}{2\pi} U_{scr} \left(2k_F \sin \frac{\theta}{2} \right) \quad \text{triplet (p-h) channel}$$

$$F_c = \frac{F_t}{2} - \frac{\nu}{4} \int_0^{2\pi} \frac{d\theta}{2\pi} U_{scr} \left(2k_F \left| \cos \frac{\theta}{2} \right| \right) = F_t \quad \text{singlet (p-p) channel}$$

where $U_{scr}(q) = U(q)/[1 + \nu U(q)]$ stands for the statically screened interaction

$$\text{BCS attraction only } (\lambda \ll 1): \quad -F_s = F_t = F_c = \lambda/2$$

Coulomb interaction only ($\varkappa/k_F \ll 1$):

$$F_s \rightarrow \infty, \quad F_t = F_c \approx -\frac{\varkappa}{4\pi k_F} \ln \frac{4k_F}{\varkappa}$$

where inverse static screening length $\varkappa = 2\pi e^2 \nu$

nonlinear sigma-model action:

[Finkelstein(1983)]

$$\mathcal{S} = -\frac{g}{32} \text{Tr}(\nabla Q)^2 + 4\pi Tz \text{Tr} \eta Q - \frac{\pi T}{4} \sum_{\alpha, n, r, j} \int_{\mathbf{r}} \Gamma_{rj} \text{tr} \left[t_{rj} J_{n,r}^{\alpha} Q \right] \text{tr} \left[t_{rj} (J_{n,r}^{\alpha})^T Q \right]$$

where the matrix field Q (Matsubara, replica, spin and particle-hole spaces) obeys

$$Q^2(\mathbf{r}) = 1, \quad \text{tr} Q(\mathbf{r}) = 0, \quad Q(\mathbf{r}) = C^T Q^T(\mathbf{r}) C,$$

 g – conductivity in units e^2/h , z – Finkelstein's parameter Γ_{rj} – interaction parameters:

$$\Gamma = \begin{pmatrix} \Gamma_s & \Gamma_t & \Gamma_t & \Gamma_t \\ \Gamma_c & 0 & 0 & 0 \\ \Gamma_c & 0 & 0 & 0 \\ \Gamma_s & \Gamma_t & \Gamma_t & \Gamma_t \end{pmatrix}$$

 $SU(4)$ generators in spin and particle-hole spaces (τ_r and s_j are Pauli matrices)

$$t_{rj} = \tau_r \otimes s_j, \quad r, j = 0, 1, 2, 3$$

matrices involved:

$$\Lambda_{nm}^{\alpha\beta} = \text{sgn } n \delta_{nm} \delta^{\alpha\beta} t_{00}, \quad \eta_{nm}^{\alpha\beta} = n \delta_{nm} \delta^{\alpha\beta} t_{00}$$

$$J_{n,0}^{\alpha} = J_{n,3}^{\alpha} = I_{n,-}^{\alpha}, \quad J_{n,1}^{\alpha} = J_{n,2}^{\alpha} = I_{n,+}^{\alpha}$$

$$(I_{k,\pm}^{\gamma})^{\alpha\beta} = \delta_{n\pm m, k} \delta^{\alpha\beta} \delta^{\alpha\gamma} t_{00}$$

- convenient dimensionless interaction parameters: $\gamma_{s,t,c} = \Gamma_{s,t,c}/z$
- initial values (at the energy scale $\min\{\omega_D, \tau^{-1}\}$):

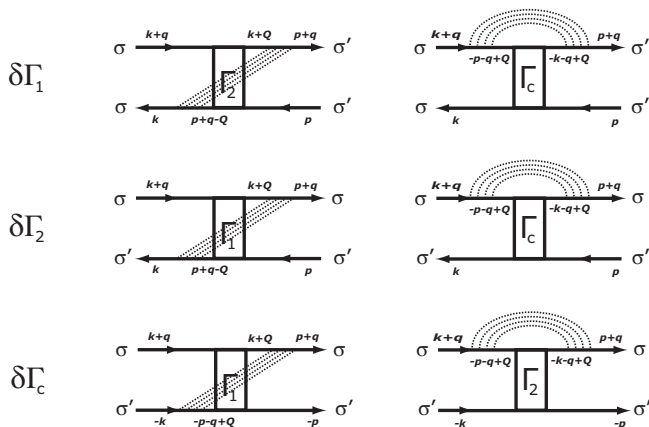
$$\gamma_{s0} = -\frac{F_s}{1 + F_s}, \quad \gamma_{t0} = -\frac{F_t}{1 + F_t},$$

$$\gamma_{c0} = -\frac{F_c}{1 - F_c \ln \max\{1, \omega_D \tau\}} = -\frac{1}{\ln \frac{\min\{\omega_D, \tau^{-1}\}}{T_c^{BCS}}}$$

where $T_c^{BCS} = \omega_D \exp(-1/F_c)$

- BCS attraction only ($\lambda \ll 1, \omega_D \tau \ll 1$): $\gamma_{c0} = \gamma_{t0} = -\gamma_{s0} = -\lambda/2$
- Coulomb interaction only ($\varkappa/k_F \ll 1$):

$$\gamma_{s0} = -1, \quad \gamma_{t0} = \gamma_{c0} \approx \frac{\varkappa}{4\pi k_F} \ln \frac{4k_F}{\varkappa}$$



where $\Gamma_1 = (\Gamma_t - \Gamma_s)/2$ and $\Gamma_2 = \Gamma_t$

[Ovchinnikov (1973); Maekawa, Fukuyama (1982); Takagi, Kuroda (1982)]

$$\frac{dt}{dy} = t^2 \left[\overbrace{\frac{n-1}{2}}^{WL/WAL} + \overbrace{f(\gamma_s) + nf(\gamma_t)}^{A-A} \overbrace{-\gamma_c}^{DOS} \overbrace{-2\gamma_c^2}^{A-L} \right]$$

$$\frac{d\gamma_s}{dy} = -\frac{t}{2}(1 + \gamma_s)(\gamma_s + n\gamma_t + 2\gamma_c)$$

$$\frac{d\gamma_t}{dy} = -\frac{t}{2}(1 + \gamma_t)\left(\gamma_s - [n(n-3) + 1]\gamma_t - 2\gamma_c(1 + 2\gamma_t)\right)$$

$$\frac{d\gamma_c}{dy} = -\frac{t}{2} \left[(1 + \gamma_c)(\gamma_s - n\gamma_t) - 2\gamma_c^2 + 2n\gamma_c(\gamma_t - \ln(1 + \gamma_t)) \right] - 2\gamma_c^2$$

where running RG scale $y = \ln L/l$ and $f(x) = 1 - (1 + 1/x) \ln(1 + x)$

$n = 3$ - $SU(2)$ spin-rotational symmetry preserved

$n = 1$ - spin-rotational symmetry is broken down to $U(1)$

$n = 0$ - spin-rotation symmetry is fully broken

lowest order in γ_c : Finkelstein (1984, 1985); Castellani, Di Castro, Forgacs, Sorella (1984); Ma, Fradkin (1986)

all orders in γ_c but seems to be wrong: Belitz, Kirkpatrick (1994); Dell'Anna (2013)

- surface-asymmetry induced spin-orbit coupling

$$H_{so} = \alpha[\boldsymbol{\sigma} \times \mathbf{k}]_z \implies \Delta_{so} = \alpha p_F$$

[Bychkov, Rashba (1984)]

- D'yakonov-Perel' spin relaxation (under assumption $\Delta_{so} \ll \tau^{-1}$):

$$\frac{1}{\tau_s^x} = \frac{1}{\tau_s^y} = \frac{1}{2\tau_s^z} \sim \frac{1}{\tau_{so}} \sim \Delta_{so}^2 \tau \ll \frac{1}{\tau}$$

spin-relaxation length scale $l_{so} \sim v_F/\Delta_{so} \gg l$

- spin-orbit skew scattering (from \mathbf{k} to \mathbf{k}') $H_{sos} = v_s[\mathbf{k} \times \mathbf{k}']_z \sigma_z$
- spin-relaxation rates

$$\frac{1}{\tau_s^x} = \frac{1}{\tau_s^y} = 0, \quad \frac{1}{\tau_s^z} \sim \nu \langle |v_s[\mathbf{k} \times \mathbf{k}']_z|^2 \rangle$$

[Hikami, Larkin, Nagaoka (1980)]

spin-relaxation length scale $l_s^z \sim l\sqrt{\tau_s^z/\tau} \gg l$ (provided $\tau_s^z \gg \tau$)

$$\frac{dt}{dy} = t^2 \left[\frac{n-1}{2} + f(\gamma_s) + n f(\gamma_t) - \gamma_c - 2\gamma_c^2 \right]$$

$$\frac{d\gamma_s}{dy} = -\frac{t}{2} (1 + \gamma_s) (\gamma_s + n\gamma_t + 2\gamma_c)$$

$$\frac{d\gamma_t}{dy} = -\frac{t}{2} (1 + \gamma_t) \left(\gamma_s - [n(n-3) + 1]\gamma_t - 2\gamma_c(1 + 2\gamma_t) \right)$$

$$\frac{d\gamma_c}{dy} = -\frac{t}{2} \left[(1 + \gamma_c)(\gamma_s - n\gamma_t) - 2\gamma_c^2 + 2n\gamma_c(\gamma_t - \ln(1 + \gamma_t)) \right] - 2\gamma_c^2$$

assumption: $1/\tau_{so} \ll 1/\tau_s^z \ll 1/\tau$

$$n = 3 \quad \tau_{so}^{-1} \ll 1/\tau_s^z \ll T \quad L \ll l_s^z \ll l_{so}$$

$$n = 1 \quad \tau_{so}^{-1} \ll T \ll 1/\tau_s^z \quad l_s^z \ll L \ll l_{so}$$

$$n = 0 \quad T \ll \tau_{so}^{-1} \ll 1/\tau_s^z \quad l_s^z \ll l_{so} \ll L$$

$$\begin{aligned}\frac{dt}{dy} &= t^2 \left[-\frac{1}{2} + f(\gamma_s) - \gamma_c - 2\gamma_c^2 \right] \\ \frac{d\gamma_s}{dy} &= -\frac{t}{2} (1 + \gamma_s) (\gamma_s + 2\gamma_c) \\ \frac{d\gamma_c}{dy} &= -\frac{t}{2} \left[(1 + \gamma_c) \gamma_s - 2\gamma_c^2 \right] - 2\gamma_c^2\end{aligned}$$

where $f(x) = 1 - (1 + 1/x) \ln(1 + x)$

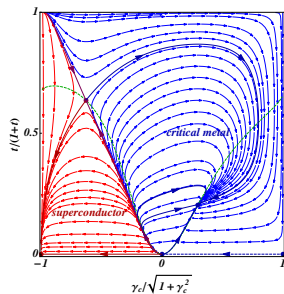
superconducting phase: $\gamma_c \rightarrow -\infty$, $t \sim -1/\gamma_c \rightarrow 0$

(noninteracting) supermetallic phase: $t = 0$, $\gamma_s = \gamma_c = 0$

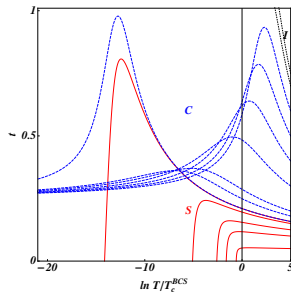
insulating phase: $t = \infty$ (within one-loop RG it is not possible)

$$\frac{dt}{dy} = t^2 \left[-\frac{1}{2} + 1 - \gamma_c - 2\gamma_c^2 \right]$$

$$\frac{d\gamma_c}{dy} = \frac{t}{2} \left[1 + \gamma_c + 2\gamma_c^2 \right] - 2\gamma_c^2$$



RG flow



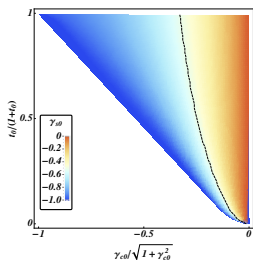
resistance vs temperature

 for $\gamma_{c0} = -0.2$ and $t_0 = 0.05 \div 0.75$

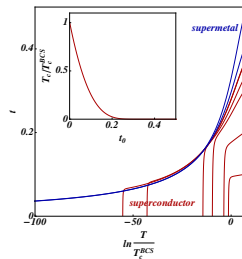
$$\frac{dt}{dy} = t^2 \left[\frac{1}{2} - \frac{1 + \gamma_s}{\gamma_s} \ln(1 + \gamma_s) - \gamma_c - 2\gamma_c^2 \right]$$

$$\frac{d\gamma_s}{dy} = -\frac{t}{2} (1 + \gamma_s) (\gamma_s + 2\gamma_c)$$

$$\frac{d\gamma_c}{dy} = -\frac{t}{2} \left[(1 + \gamma_c) \gamma_s - 2\gamma_c^2 \right] - 2\gamma_c^2$$



phase diagram



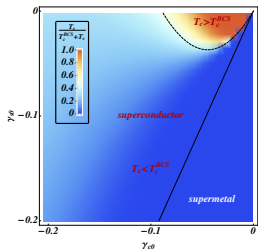
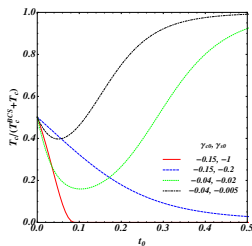
resistance vs temperature

 for $\gamma_{c0} = -0.15$, $\gamma_{s0} = -0.4$ and $t_0 = 0.1 \div 0.5$

$$\frac{dt}{dy} = t^2 \left[\frac{1}{2} - \frac{1 + \gamma_s}{\gamma_s} \ln(1 + \gamma_s) - \gamma_c - 2\gamma_c^2 \right]$$

$$\frac{d\gamma_s}{dy} = -\frac{t}{2} (1 + \gamma_s)(\gamma_s + 2\gamma_c)$$

$$\frac{d\gamma_c}{dy} = -\frac{t}{2} \left[(1 + \gamma_c)\gamma_s - 2\gamma_c^2 \right] - 2\gamma_c^2$$


 dependence of T_c on t_0

 dependence of T_c

 on γ_{s0} and γ_{c0} for $t_0 = 0.5$

[I.S.B., Gornyi, Mirlin (2012)]

$$|\gamma_{s0}| \lesssim |\gamma_{c0}| \ll t_0 \ll 1$$

$$\frac{dt}{dy} \approx -\frac{t^2}{2} \quad \frac{d\gamma_s}{dy} = -\frac{t}{2}(\gamma_s + 2\gamma_c) \quad \frac{d\gamma_c}{dy} = -\frac{t}{2}\gamma_s - 2\gamma_c^2$$

three-step RG:

- (i) from $t = t_0$ to $t \approx t_0/2$: approaching BCS-like line $\gamma_c = -\gamma_s = \gamma$
- (ii) increase of $|\gamma|$ from $|\gamma_{c0}|$ to $|\gamma_*| \sim \sqrt{|\gamma_{c0}|t_0}$:

$$\frac{d|\gamma|}{dy} = \frac{t}{2}|\gamma| \implies |\gamma| \sim \frac{|\gamma_{c0}|t_0}{t} \implies |\gamma_*| \sim t_* \sim \sqrt{|\gamma_{c0}|t_0}$$

- (iii) superconducting instability with initial attraction γ_*

$$\frac{d\gamma}{dy} = -2\gamma^2 \implies \ln \frac{1}{T_c \tau} \sim (|\gamma_{c0}|t_0)^{-1/2} \implies T_c \gg T_c^{BCS}$$

- perpendicular field H_{\perp} suppresses cooperons at scales

$$L \gg l_H = \sqrt{\frac{\phi_0}{H_{\perp}}}$$

- parallel field H_{\parallel} suppresses cooperons at scales

$$L \gg l_Z = \frac{l_H}{\sqrt{2\pi g_L(1 + \gamma_{t0})t_0}}$$

- RG equations at $L \gg \min\{l_H, l_Z\}$

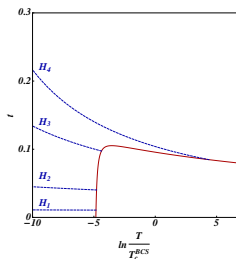
$$\frac{dt}{dy} = t^2 \left[\frac{1}{2} - \frac{1 + \gamma_s}{\gamma_s} \ln(1 + \gamma_s) \right], \quad \frac{d\gamma_s}{dy} = -\frac{t}{2}(1 + \gamma_s)\gamma_s$$

the smooth dependence of T_c on H as well as dependence of initial parameters γ_{c0} , γ_{s0} and t_0 on H are not taken into account

RG at lengthscales $L \ll l_{HZ}$

$$\frac{dt}{dy} = t^2 \left[\frac{1}{2} - \gamma_c - \frac{\gamma_c^2}{2} \right]$$

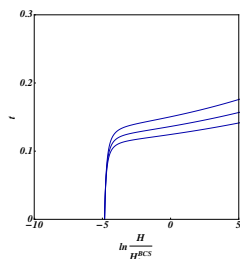
$$\frac{d\gamma_c}{dy} = \frac{t}{2} \left[1 + \gamma_c + 2\gamma_c^2 \right] - 2\gamma_c^2$$



resistance vs T for fixed H
for $\gamma_{c0} = -0.15$ and $t_0 = 0.08$

RG at lengthscales $L \gg l_{HZ}$

$$\frac{dt}{dy} = t^2$$



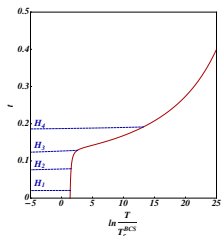
resistance vs H for fixed $T < T_c$

RG at lengthscales $L \ll l_{HZ}$

$$\frac{dt}{dy} = t^2 \left[-\frac{1}{2} + f(\gamma_s) - \gamma_c - \frac{\gamma_c^2}{2} \right]$$

$$\frac{d\gamma_s}{dy} = -\frac{t}{2} (1 + \gamma_s) (\gamma_s + 2\gamma_c)$$

$$\frac{d\gamma_c}{dy} = -\frac{t}{2} \left[(1 + \gamma_c) \gamma_s - 2\gamma_c^2 \right] - 2\gamma_c^2$$

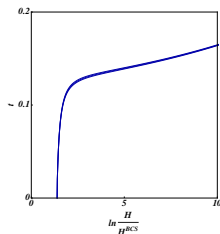

 resistance vs T for fixed H

 for $\gamma_{c0} = -0.04$, $\gamma_{s0} = -0.02$ and $t_0 = 0.4$

 RG at lengthscales $L \gg l_{HZ}$

$$\frac{dt}{dy} = t^2 f(\gamma_s)$$

$$\frac{d\gamma_s}{dy} = -\frac{t}{2} (1 + \gamma_s) \gamma_s$$


 resistance vs H for fixed $T < T_c$

- superconducting transition in 2D is of Berezinsky-Kosterlitz-Thouless type
- our (mean-field) T_c is the upper estimate for T_c^{BKT}
- the description of BKT transition within nonlinear sigma-model approach is needed (in progress)

- $\text{LaAlO}_3/\text{SrTiO}_3$: the effective dielectric constant is about 10^4 (due to SrTiO_3),
- if static screening length $\kappa^{-1} < l$, effective singlet-channel interaction is universal with $\gamma_s = -1$ (long-ranged)
- if static screening length $l < L_{T_c} < \kappa^{-1}$, effective singlet-channel interaction is short-ranged

- one-loop RG equation but exact in the Cooper-channel interaction are derived
- the transition to the superconducting phase is analyzed
- in the case of broken spin-rotational symmetry monotonous magnetic-field dependence of resistance at $T < T_c$ is found